



COPY OF PAPER  
ORIGINALLY FILED

0220

MPA #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Keo et al

Serial No.: 10/001,252

Filed: November 15, 2001

Group No.: 2874

Examiner: Unknown

Docket No. LIGHT2380

For: SMOOTHING FACETS ON AN OPTICAL COMPONENT

CERTIFICATION UNDER 37 CFR § 1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, 13 March 2002, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Date

Signature

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -  
FILING DATE GRANTED**

This document is submitted in response to the NOTICE TO FILE MISSING PARTS OF APPLICATION, dated December 20, 2001.


Transmitted herewith are the executed combined Declaration/Power of Attorney and the Transmittal of Formal Drawings with FIFTEEN (15) sheets of drawings.

The Fee for this Response is calculated as follows:

For	Number Filed		Number Extra Claims	Large Entity Rate	Small Entity Rate	Calculations
Total Claims	45 - 20	=	25	x \$18.00	x \$9.00	\$225.00
Independent Claims	4 - 3	=	1	x \$84.00	x \$42.00	\$ 42.00
Basic Filing Fee				\$740.00	\$370.00	\$370.00
					TOTAL FEE	\$637.00

Page 2

Respectfully submitted

  
TERRANCE A. MEADOR  
Reg. No. 30, 298

**Telephone: (858) 638-6747    Fax: (858) 638-6727**



24

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Keo et al

COPY OF PAPERS  
ORIGINALLY FILED

Group No.: 2874

Serial No.: 10/001,252

Examiner: Unknown

Filed: November 15, 2001

Docket No. LIGHT2380

For: SMOOTHING FACETS ON AN OPTICAL COMPONENT

CERTIFICATION UNDER 37 CFR § 1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date 13 March 2002, in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231

13 March 2002  
Date

Terrance A. Meador  
Signature

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

TRANSMITTAL OF FORMAL DRAWINGS

Transmitted herewith are FOURTEEN (14) sheets of formal drawing in substitution for the photocopies of the drawing originally filed.

Respectfully submitted,

Date: 13 March 2002

Terrance A. Meador  
TERRANCE A. MEADOR  
Reg. No. 30, 298

GRAY CARY WARE & FREIDENRICH  
4365 Executive Drive, Suite 1100  
San Diego, CA 92121-2133

Telephone: (858) 638-6747 Fax: (858) 638-6727

B



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/001,252	11/15/2001	Sam Keo	LIGHT2380

CONFIRMATION NO. 8331

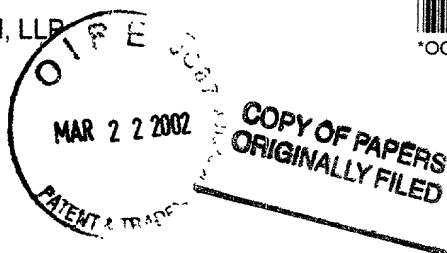
## FORMALITIES LETTER



\*OC000000007215935\*

25548

TERRANCE A. MEADOR  
GRAY CARY WARE & FREIDENRICH, LLP  
4365 EXECUTIVE DRIVE  
SUITE 1100  
SAN DIEGO, CA 92121-2133



Date Mailed: 12/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$267.
  - \$225 for 25 total claims over 20.
  - \$42 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 702.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

03/29/2002 \*BEP\* 0.000016 00000000

03/29/2002 17:01:16  
03/29/2002 17:01:16  
03/29/2002 17:01:16  
03/29/2002 17:01:16

***A copy of this notice MUST be returned with the reply.***

## Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE